

FOR IMMEDIATE RELEASE

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***Rosenblatt serves as exclusive financial advisor to investment-research platform Visible Alpha on \$38M funding round***

**New York, NY, January 22, 2018.** Rosenblatt Securities is pleased to announce its role as the exclusive financial advisor to Visible Alpha, a company that is transforming the way investment managers and brokers collaborate on research, financial models and corporate access, on a \$38 million investment by a consortium of leading financial institutions. The funding round was led by Goldman Sachs, with additional participation from Exane BNP Paribas, Macquarie Group, RBC Capital Markets, Banco Santander through its VC arm, Santander InnoVentures, and Wells Fargo as well as Visible Alpha's existing investors, Bank of America, Citi, Jefferies, Morgan Stanley and UBS. Visible Alpha has seen dramatic growth over the past year and will continue to build on this momentum through the product development and global expansion enabled by this funding.

"Rosenblatt's deep domain expertise helped us navigate this important capital raise," said Scott Rosen, CEO of Visible Alpha. "With their guidance and support, Visible Alpha is positioned as the leading platform serving the buy side and sell side in today's rapidly changing research distribution and consumption environment." [Click here for Visible Alpha's press release.](#)

This transaction is announced as Rosenblatt approaches the 10th anniversary of the launch its FinTech Investment Banking initiative. "We're simply thrilled to be a part of the Visible Alpha story," said Vikas Shah, Rosenblatt's head of FinTech investment banking. "This financing reinforces the market leadership they've established. And, with MiFID II disrupting research valuation, budgeting and distribution, the timing couldn't be more propitious to help solve a global problem." Some of Rosenblatt's other notable FinTech engagements include serving as a co-manager for the IPOs of CBOE Holdings, Bats Global Markets and Virtu Financial (after having served as industry advisor to Silver Lake on its earlier investment in Virtu). Additionally, Rosenblatt has served as advisor to:

- Euronext, on its acquisition of FastMatch
- "Robo-advisor" Bloom, on its Series B financing
- Alternative lending platform SnapCap, on its debt financing
- Thesys Technologies, on its winning bid for the Consolidated Audit Trail Plan
- Pico Trading, on its acquisition of AlgoSpan
- Voltaire Capital, on its acquisition of proprietary trading firm Caherciveen Partners
- GTS, on its acquisition of Barclays PLC's NYSE Designated Market Maker business

**About Rosenblatt Securities:** Rosenblatt Securities is an independent institutional brokerage founded in 1979 and headquartered in New York. The firm represents clients on an agency-only basis in equities and ETFs. Rosenblatt is the largest broker by volume operating on the New York Stock Exchange floor. It also offers cutting-edge "upstairs" trading services, including both program and single-stock execution. The firm provides industry-leading execution analytics, market-structure analysis, consulting and investment-banking services to a wide variety of clients globally, including institutional investors, exchanges, government entities, technology companies, banks, brokers and proprietary-trading firms. Its growing research offering includes coverage of financial services, technology, media, and telecommunications and emerging, frontier and developed markets. Member NYSE, FINRA, SIPC. For more information, please go to [www.rblt.com](http://www.rblt.com).